

**PHASE TRANSFER CATALYST IN ORGANIC SYNTHESIS****Dirgha Raj Joshi<sup>1\*</sup> and Nisha Adhikari<sup>2</sup>**

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**ABSTRACT**

Phase-transfer catalyst (PTC) has been popular for more than four decades in synthetic chemistry. The PT catalysis uses the PTC in a catalytic amount and it allows to react the reactants of two different phases via facilitating transfer of reactants from interface, which are not miscible and itself regenerated again and the cycle is repeated. The use of PTC is wide from liquid-liquid, solid-liquid to liquid-gas system. Due to its diversified application, PTC is also combined with other rate enhancing techniques like microwave, electro-organic synthesis, sono-chemistry, photochemistry and many more are exploring. The PTC application in the field of manufacturing of the fine chemicals and organic intermediates are unlimited.

**KEYWORDS:** Phase-transfer catalyst, organic reaction, catalyst, catalysis, reaction, ammonium salt, phosphonium salt.

**INTRODUCTION**

The catalyst is an agent used to enhance the rate of chemical reaction which itself not consumed throughout the reaction process and act continuously which is the rational of using it in a very small amount.<sup>[1]</sup> It usually works by lowering the activation energy or altering the mechanism of reaction. In biological system, the enzyme acts as a catalyst.<sup>[2]</sup> The catalysis is a term used to describe the role of catalyst.<sup>[3-5]</sup>

PTC has been introduced in around 1965 and within a decade, it became popular in synthetic organic chemistry.<sup>[6]</sup> Many researches with employing PTC are published. The phase transfer catalyst (PTC) is that which allows exchanging of the chemical reactions between the two non-miscible, heterogeneous systems. PTC itself has both functional sites to get solubilized in

both system and allow transferring substance from one system to another.<sup>[6]</sup> It is usually a salt of quaternary ammonium, phosphonium compound and crown ether etc.<sup>[7]</sup> So PTC is usually used in a heterogeneous reaction system where it facilitates migration of reactant from one phase to another.<sup>[8]</sup> Since it accelerates the reaction, decreases reaction time, needs less solvents, obtains higher yield, has less side reaction, and eliminate hazardous waste etc, so regarded as good agent for Green Chemistry which allows reaction in water and the use of organic solvents is dramatically decreased.<sup>[9]</sup> The reactants with ionic nature are soluble in aqueous system but insoluble in organic medium so to make the reaction happen, the PTC is used which acts like a detergent to solubilize the salt in to the desired organic medium. Normally, the reaction with PTC can be carried out in mild conditions and easy workup procedure so highly used in industrial scale.<sup>[10]</sup> With contrary to the general understanding, PTC is not limited to hydrophilic and lipophilic system but in some cases it is highly useful and utilized in liquid/gas and liquid/solid reaction conditions.<sup>[6]</sup> Generally, the PTC can be categorized as below and the examples are listed in chart which are compiled from the commercial sources like Sigma Aldrich<sup>[11]</sup>, TCI<sup>[12]</sup> and other.

### **Ammonium Salts**

These are the chemical compounds having nitrogen in their skeleton and formed a salt with different structural nature.<sup>[8,13]</sup> The chart 1 shows the commonly used ammonium salt category PTC.

### **Heterocyclic Ammonium Salts**

These are similar to the ammonium salt but only difference is that there is one or more hetero atom in their structure.<sup>[14]</sup> The chart 2 shows the commonly used heterocyclic ammonium salt category PTC.

### **Phosphonium Salts**

These are also similar to the ammonium salt but instead of nitrogen, it contains phosphorous.<sup>[8,15-17]</sup> The chart 3 shows the commonly used phosphonium category PTC.

### **Nonionic PTC**

These are less common and less in number. They don't contain charge on their structure. But for some specific reaction, it's popularity is also increasing.<sup>[18,19]</sup> The one listed by Sigma Aldrich is DL- $\alpha$ -Tocopherol methoxypolyethylene glycol succinate<sup>[11]</sup> and other are also commercially available.

### Crown ether as PTC

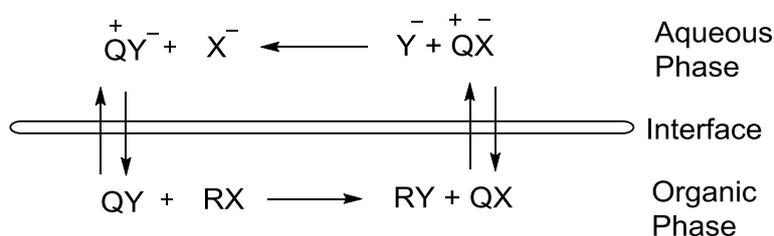
Many commercially available crown ether can be found easily<sup>[20]</sup> and are employed as a PTC in various kinds of reactions.<sup>[21-24]</sup> The role of crown ether as PTC in polymer science are also diversely studied.<sup>[25]</sup> Few of the crown ether are listed in chart 4.

### Principle of PTC

The general principle can be discussed as the ability of PTC to facilitate transfer of the reagent from one phase (eg. Hydrophilic) to another phase (eg. Lipophilic) which are immiscible and it is regenerated to its original state so the amount of PTC is in catalytic amount.<sup>[6,7,10,26,27]</sup> So those reactions are also possible which are originally not in contact with each other due to their insolubility in two different phases.

### Mechanism of PTC reaction

In 1971 the mechanism of PTC reaction was proposed by Starksi original research where a quaternary ammonium halide undergoes dissolution in aqueous phase ( $Q^+X^-$ ) and anion exchanges with another anion from the reactants dissolved in aqueous phase. The formed ion pair ( $Q^+Y^-$ ) now can pass through liquid-liquid interface because of its lipophilic nature and undergoes diffusion from interface to the lipophilic phase, this key step is the phase transfer. In the lipophilic phase, the anion from ion pair is nucleophilic which undergoes reaction (nucleophilic substitution) with the organic reagents and forms subsequent desired product (RY). Again the catalyst after its work is done, returns back to the aqueous phase and the cycle is repeated continuously.<sup>[8]</sup> The overview of PTC reaction is explored in below Scheme 1.



**Scheme 1. Overview of PTC reaction**

**Application of PTC:** More new and new applications are reviling with PTC. Usually it plays a great role in Green chemistry to minimize waste, use of less solvent, faster and convenient approach etc. In organic synthesis also, various reactions are catalyzed by PTC usually where the reagents form heterogeneous nature in a reaction medium. So the PTC is now employed

industrially. Like the polyester polymer are made from bisphenol-A and acid chloride, the PTC catalyzed pesticide production are popular usually via alkylation of phosphothioates. The asymmetric<sup>[28,29]</sup> alkylation is another advance application of PTC where the chiral quaternary ammonium salt is employed which are derived from cinchona alkaloids.

**PTC in Green Chemistry:** The general catalyst has great role in green chemistry to perform reaction conveniently, good yield, less hazardous byproducts and many more.<sup>[9]</sup> Specially, the use of PTC is also increasing which directly enhance the less use of organic solvents, so ultimately less hazardous byproducts and other organic solvents related problems. The wide application of PTC in aqueous system allow more enhanced environment friendly green synthesis. Solvent free synthesis is also possible using PTC.<sup>[30,31]</sup>

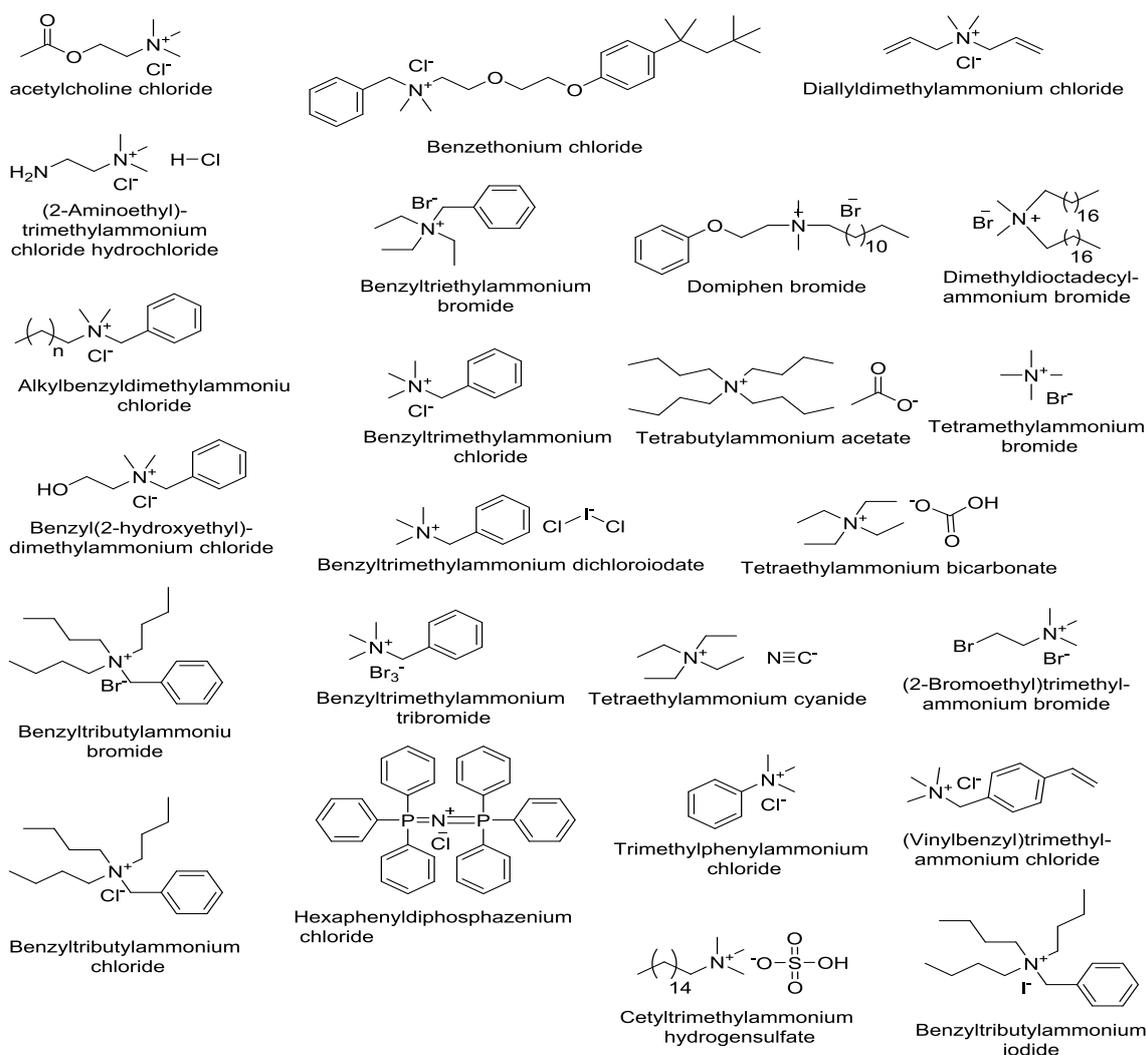


Chart 1. Ammonium Salts

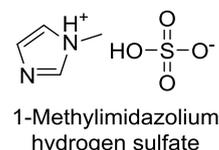
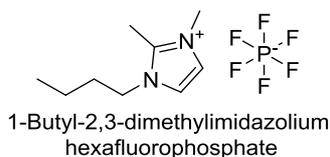
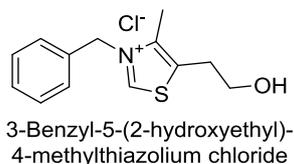
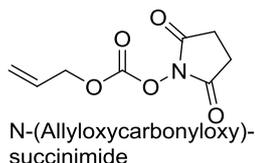


Chart 2. Heterocyclic Ammonium Salts

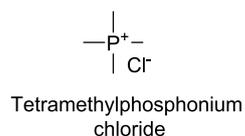
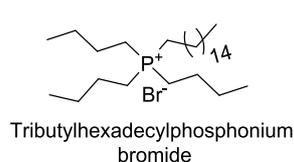
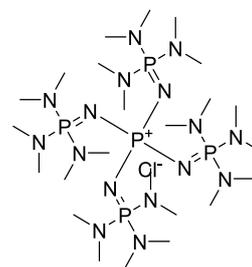
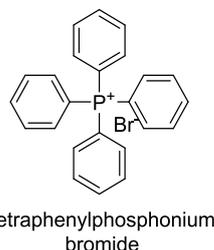
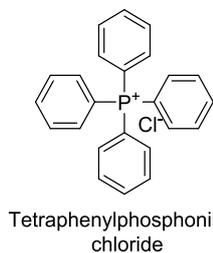
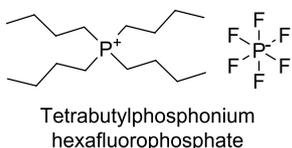
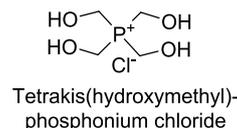
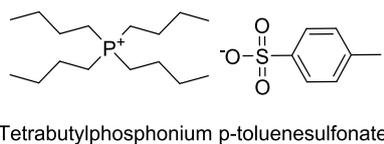
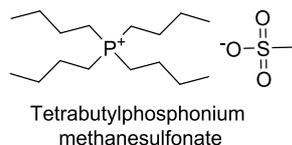
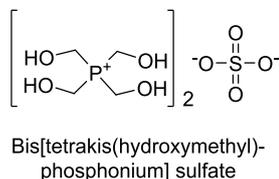
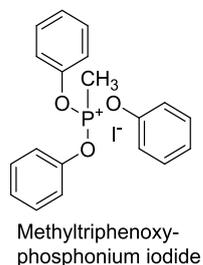


Chart 3. Phosphonium Salts

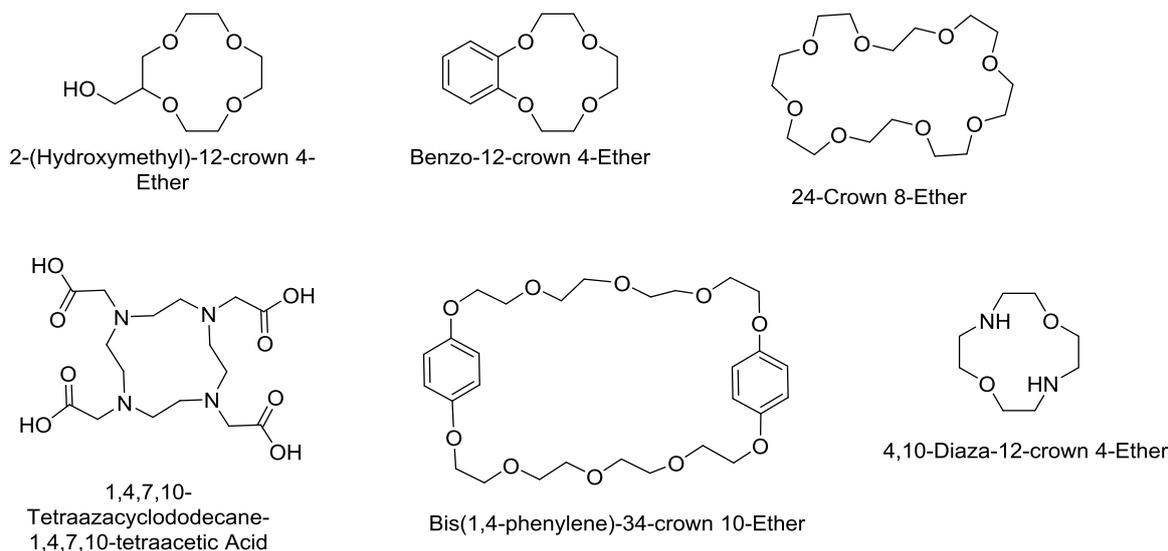


Chart 4. Crown Ether

## CONCLUSION

From past 4 decades, the PTC popularity is increasing and many significant reactions are performed as desired with employing PTC. Only in a commercial scale the disadvantage is that, we need to separate PTC from the product containing organic phase. Nowadays more diversified chemical structure with ammonium, phosphonium, crown and the nonionic PTC are developed and available commercially which allows us to select the specificity to the certain reaction nature. Moreover, the chiral PTC are playing great role in asymmetric synthesis. So we hope this concise review will provide information regarding PTC with most commonly used/ available PTC reagents in one place.

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